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A massive galaxy in its core formation phase three billion years after the Big Bang

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Most massive galaxies are thought to have formed their dense stellar cores at early cosmic epochs.^{1–3} However, cores in their formation phase have not yet been observed. Previous studies have found galaxies with high gas velocity dispersions⁴ or small apparent sizes^{5–7} but so far no objects have been identified with both the stellar structure and the gas dynamics of a forming core. Here we present a candidate core in formation 11 billion years ago, at $z = 2.3$. GOODS-N-774 has a stellar mass of $1.0 \times 10^{11} M_{\odot}$, a half-light radius of 1.0 kpc, and a star formation rate of $90^{+45}_{-20} M_{\odot}/\text{yr}$. The star forming gas has a velocity dispersion 317 ± 30 km/s, amongst the highest ever measured. It is similar to the stellar velocity dispersions of the putative descendants of GOODS-N-774, compact quiescent galaxies at $z \sim 2^{8–11}$ and giant elliptical galaxies in the nearby Universe. Galaxies such as GOODS-N-774 appear to be rare; however, from the star formation rate and size of the galaxy we infer that many star forming cores may be heavily obscured, and could be missed in optical and near-infrared surveys.

We identified the candidate forming core, GOODS-N-774, using the 3D-HST catalogs in the five CANDELS fields.¹² GOODS-N-774 has a circularized effective radius $r_e = 1.0$ kpc from HST F160W WFC3 imaging,¹³ a stellar mass of $1.0 \times 10^{11} M_{\odot}$ ^{12,14}, rest-frame UVJ colors consistent with a star-forming galaxy; and a MIPS 24 μm flux of 104 μJy . Fig. 1 shows the stellar mass density profile derived from the observed H_{160} surface brightness profile corrected for the HST PSF.¹⁵ The surface density profile is strikingly similar to the average profile of massive quiescent galaxies at $z \approx 2$ (red line), and much more concentrated than the average profile of massive star forming galaxies at that redshift (light blue).¹³

The near infrared spectrum of GOODS-N-774 is

shown in Fig. 2. The continuum is clearly detected, along with emission lines that we identify as $H\alpha$ and $[\text{N II}]$ redshifted to $z = 2.300$. The gas velocity dispersion is $\sigma = 317 \pm 30$ km/s, equivalent to a FWHM ≈ 750 km/s. Typically, objects with such large linewidths are mergers or dominated by active galactic nuclei (AGN).⁴ If the line emission in GOODS-N-774 is partially or largely due to the presence of an AGN, its velocity dispersion, size, and stellar mass measurements would not be reliable.

There is no evidence for the presence of an active nucleus in GOODS-N-774. It is not detected in the deep Chandra 2 Ms X-ray data in GOODS-North with an upper limit of $L_X < 1.2 \times 10^{42} \text{ ergs s}^{-1}$. While an AGN cannot be conclusively ruled out, this upper limit is consistent with the star formation rate of the galaxy. Also, the galaxy has line ratios $[\text{O III}]/[\text{O II}] = 0.7 \pm 0.5$, $[\text{O III}]/H\beta = 1.2 \pm 0.9$, and $[\text{N II}]/H\alpha = 0.4 \pm 0.1$, indicating a low ionization state of the gas. Therefore stellar photoionization, and hence ultimately star formation, is the likely origin of the line emission. Finally, the observed infrared SED, shown in Fig. 3, requires strong PAH emission to simultaneously explain the MIPS 24 μm and Herschel data, effectively ruling out the presence of a dominant AGN. We quantified this by fitting composite SEDs with varying AGN contributions.¹⁶ The best fit is obtained for a pure star-forming template with 0% AGN contribution (see Fig. 3).

We infer that GOODS-N-774's line width is among the highest measured for a normal star forming galaxy at high redshift, as shown in Extended Data Fig. 1. If the gas is in a disk, it is rotating with a velocity of $v_c \approx 550$ km/s, or $v_c \approx 680$ km/s after correcting for inclination. The observed gas velocity dispersion of 317 km/s is similar to the median stellar velocity dispersion of 304 km/s in a sample of quiescent galaxies at $z = 1.5 - 2.2$ with median mass $1.9 \times 10^{11} M_{\odot}$ ^{8–11} (see Fig. 4).

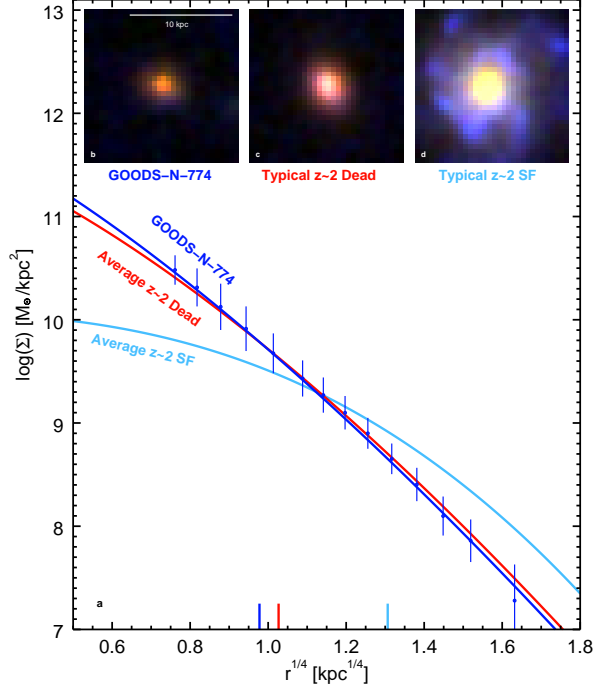


Figure 1 | Structural properties of GOODS-N-774. *a*, Surface density profile of GOODS-N-774 (blue line), as derived from deep WFC3 H_{160} imaging. Error bars are s.d. The galaxy has a mass of $1.0 \times 10^{11} M_{\odot}$ and an effective radius $r_e = 1.0$ kpc. The light blue curve shows the average profile of 67 star-forming galaxies at $1.9 < z < 2.1$ with $10.9 < \log(M_{\text{stellar}}) < 11.2$.^{13,12} The red curve shows the average profile of 24 quiescent galaxies with the same mass and redshift selection criteria. *b-d*, Color images show GOODS-N-774, a typical quiescent galaxy, and a typical star-forming galaxy. Vertical bars indicate effective radii. GOODS-N-774's structure is similar to that of massive quiescent galaxies.

The inferred dynamical mass is $1.5 \times 10^{11} M_{\odot}$, roughly twice the stellar mass, suggesting a gas fraction of $\lesssim 50\%$. In Fig. 4 we explicitly compare the dynamical and structural properties of GOODS-N-774 to galaxies in the Sloan Digital Sky Survey (SDSS) and to quiescent galaxies at $z \sim 2$. The galaxy has a much smaller size and a higher velocity dispersion than SDSS galaxies of the same total dynamical mass. Its properties are very similar to those of the samples of quiescent galaxies at $z \sim 2$ that have been assembled over the past few years, and we infer that we have identified a star-forming example of galaxies in this region of parameter space.

The $H\alpha$ luminosity is $(3.4 \pm 0.4) \times 10^{42}$ ergs/s, which implies a minimum star formation rate (with no reddening correction) of $\sim 16 M_{\odot}/\text{yr}$ for a Chabrier initial mass function.^{19,20} The red color of the galaxy ($R_{606} - H_{160} = 4.2$) and the fact that it is detected with MIPS and Herschel suggest that the actual, dust-corrected star formation rate is much higher. The $24 \mu\text{m}$ flux alone indicates a star formation rate of $135 M_{\odot}/\text{yr}$.²¹ Fitting the $24 \mu\text{m} - 500 \mu\text{m}$ data with empirical composite star-forming SEDs¹⁶ or theoretical models²² gives slightly lower values than the $24 \mu\text{m}$ data alone, and we infer that the star formation rate is $90^{+45}_{-20} M_{\odot}/\text{yr}$. This confirms that the star formation is highly obscured, with ~ 3 magnitudes of extinction toward $H\alpha$ and $L(\text{IR})/L(\text{UV}) \gtrsim 200$.

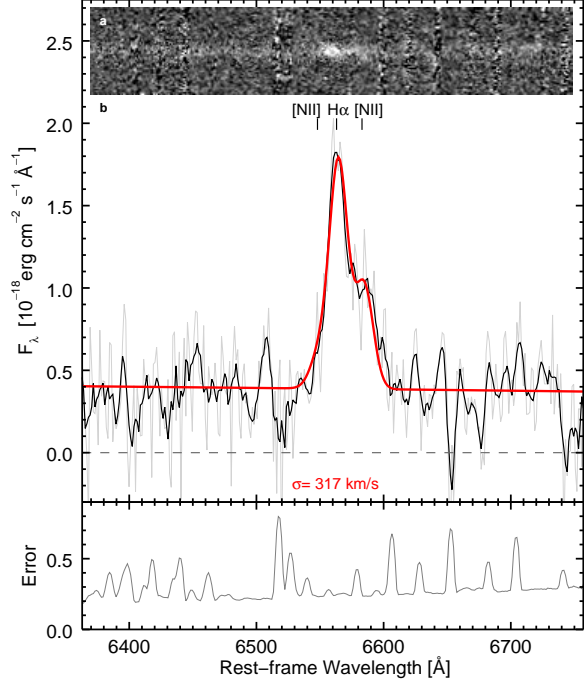


Figure 2 | Velocity dispersion of GOODS-N-774. NIR spectrum in 2D (*a*) and 1D (*b*) obtained with NIRSPEC on Keck. The grey curve is at the original resolution; the black curve shows the spectrum smoothed with a 20 \AA boxcar. The best-fit Gaussians to the $H\alpha$ $\lambda 6563 \text{ \AA}$ and $[\text{NII}]$ $\lambda\lambda 6548, 6584 \text{ \AA}$ emission lines are shown in red. The velocity dispersion is $317 \pm 30 \text{ km/s}$, equivalent to an inclination-corrected circular velocity of $v_c \approx 680 \text{ km/s}$ if the gas is rotating in a disk. The rest-frame equivalent width of $H\alpha$ is $66 \pm 8 \text{ \AA}$ and its luminosity is $(3.4 \pm 0.4) \times 10^{42} \text{ ergs/s}$.

GOODS-N-774 has a specific star formation rate of $\sim 1 \times 10^{-9}/\text{yr}$, which places it on the star-forming sequence at $z = 2.3$.²¹ If the galaxy had a constant star formation rate prior to the epoch of observation its mass was built up over a period of $\sim 1 \text{ Gyr}$ since $z \sim 3.3$. Although short compared to the age of the Universe at $z = 2.3$, this build-up phase is ~ 200 dynamical times, longer than expected from the Kennicutt-Schmidt law.²³ This suggests that the galaxy had a higher star formation rate in the past, or that the star formation rate has been throttled by the gas accretion rate onto the halo: a galaxy with a stellar mass of $M_{\star} = 1.0 \times 10^{11} M_{\odot}$ would have a baryonic accretion rate of $\sim 60 - 120 M_{\odot}/\text{yr}$,²⁴ in good agreement with the observed star formation rate.

The gas in a galaxy such as this, growing via rapid star formation in a deep potential, should be rapidly enriched with metals and we would thus expect it to exhibit a high gas-phase metallicity. This is consistent with what we observe: the galaxy has $[\text{NII}]/H\alpha = 0.4 \pm 0.1$, which implies a high metallicity ($12 + \log(\frac{O}{H}) \sim 9.05$, although the conversion²⁵ is somewhat uncertain). After the star formation phase the gas is probably heated and/or expelled.^{2,24} The quiescent core that remains will then likely evolve into a giant elliptical galaxy^{2,3} with a central stellar metallicity that is similar to the gas-phase metallicity of the star-forming core at high redshift.²⁶

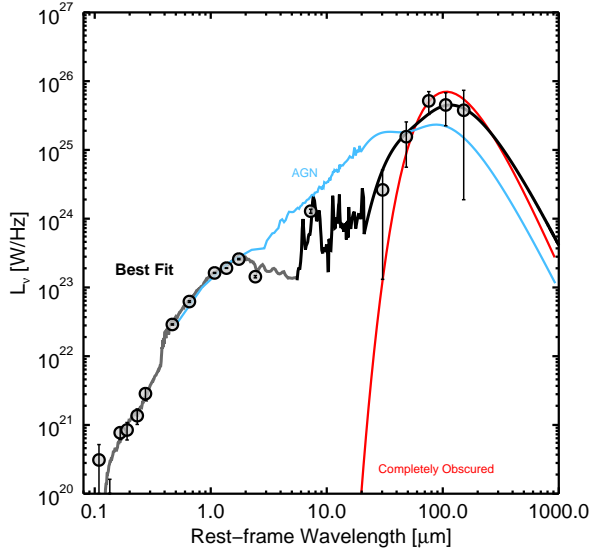


Figure 3 | UV-FIR spectral energy distribution of GOODS-N-774. Rest-frame UV-FIR photometry of GOODS-N-774. Error bars are s.d. A stellar population synthesis model fit¹⁴ to the UV-NIR SED is shown in gray. The black line shows the composite star formation + AGN SED¹⁶ that is the best fit to the mid- and far-IR data. This best fit has an AGN contribution of 0% and implies a star formation rate of $90 M_{\odot}/\text{yr}$. For reference, the light blue line shows a composite SED with an AGN contribution of 80%. The red curve shows a black body with a size of 1 kpc and a bolometric luminosity of $10^{12} L_{\odot}$.

Galaxies such as GOODS-N-774 are rare. Candidate compact star forming galaxies with less extreme properties have been identified in fairly large numbers,^{5,6} but in the $\sim 900 \text{ arcmin}^2$ of the five 3D-HST/CANDELS fields there are only three objects at $2 < z < 3$ with $24 \mu\text{m}$ flux $\geq 100 \mu\text{Jy}$, a high central mass density ($\log(M/M_{\odot})(r < 1 \text{ kpc}) \geq 10.5$; see ref. 7), and a concentrated stellar distribution ($r_e \leq 1 \text{ kpc}$). We observed all three galaxies with Keck and GOODS-N-774 is the only confirmed candidate: GOODS-S-5981⁶ has a narrow line width, whereas COSMOS-8388 is difficult to interpret because it has an active nucleus. The number density we infer is $\sim 10^{-6} \text{ Mpc}^{-3}$ (including all three candidates), compared to $\sim 10^{-4} \text{ Mpc}^{-3}$ for the overall population of galaxies with dense cores at $z \sim 2$.³

This mismatch could imply that the lifetime of the compact star forming phase is very short, as has been suggested previously based on similar number density arguments.⁴ It may be that we are witnessing the aftermath of the contraction of a gravitationally unstable star-forming disk²⁷ or of a merger of large star forming galaxies.⁴ However, neither tidal features nor extended wings are apparent in the surface density distribution.

It is perhaps more likely that the lifetime of the compact star forming phase is relatively long and that we are missing many star forming compact galaxies in current surveys. From the compact morphology and high star formation rate we infer a high gas column density for this object²³: $N_H = 2.6 \times 10^{23} \text{ cm}^{-2}$. This gas column density is nearly an order of magnitude higher than in an average UV-selected star-forming galaxy at the same cosmic epoch¹⁷ and two and a half orders of magnitude higher than in the disk of a typical galaxy in the local

universe.²³ This high column density of gas in conjunction with the abundance of metals implies²⁸ a very high extinction: $A_V \gtrsim 100$ for a screen, and $A_V \gtrsim 6$ if the dust and the stars are mixed. The detection of rest-frame optical flux, and of $H\alpha$ emission, are inconsistent with such high values. The dust distribution is probably non-uniform and it may be that, for GOODS-N-774, we are looking through a relatively unobscured line of sight.

More typical star forming cores could be entirely obscured,^{29,28} and begin to resemble black bodies with a temperature of $\sim 30 \text{ K}$ (red curve in Fig. 3; calculated using a radius of 1 kpc and $L_{\text{bol}} = 10^{12} L_{\odot}$). It may be possible to select such obscured progenitors at long wavelengths, near the peak of the redshifted dust emission. It has been demonstrated that redshifts, sizes, and velocity widths of IR-luminous galaxies can be measured from CO emission. In fact, the closest analogs to GOODS-N-774 are the two submm-selected galaxies (SMGs) HDF 76 and N2850.2 (see Fig. 4), which have high line widths and small sizes in the CO line.⁴ It will be interesting to determine whether the stellar distribution of these galaxies is similar to the gas distribution, or these are dense star forming regions inside larger galaxies.

Longer wavelength studies of large, unbiased samples can show whether GOODS-N-774 is an example of a parent population of compact star forming galaxies that are heavily obscured.⁴ There may also be multiple paths to a compact, quiescent galaxy: some (such as HDF 76 and N2850.2⁴) may form most of their stars in mergers with star formation rates of $\gtrsim 1000 M_{\odot}/\text{yr}$, whereas others (such as GOODS-N-774) may grow relatively slowly in an obscured, accretion-throttled mode. Whatever the dominant mode turns out to be, as the stars in dense cores account for 10% – 20% of the total $z \sim 2$ stellar mass density,³ star forming cores should account for a significant fraction of all star formation in the high redshift Universe.

Very recently, evidence supporting our conclusions has been posted online.³⁰

METHODS

Spectral energy distribution. The candidate forming core was found using the 3D-HST catalogs in the five CANDELS fields. CANDELS is a 902 orbit Hubble Space Telescope program that provides space-based optical and near-infrared imaging across $\sim 900 \text{ arcmin}^2$.^{31,32} Aperture photometry was performed to produce publicly available photometric catalogs and to derive stellar masses.^{12,14} $24 \mu\text{m}$ fluxes from Spitzer MIPS were determined using the same methodology as ref. 33. The derived fluxes are consistent with the public catalog of ref. 34. Using the $24 \mu\text{m}$ data as position priors we measure the $100 \mu\text{m} - 500 \mu\text{m}$ fluxes from the ultra-deep Herschel imaging in GOODS-North.³⁵ In sum, the rest-frame UV – optical data come from HST/ACS, HST/WFC3, and ground-based optical telescopes; the rest-frame near-IR data are from Spitzer/IRAC; the mid-IR point is from Spitzer/MIPS; and the far-IR data are from Herschel/PACS and SPIRE.

Keck spectroscopy. We observed GOODS-N-774 with the near infrared spectrograph (NIRSPEC) on the W. M. Keck telescope in the K band, on January 11, 2014. The

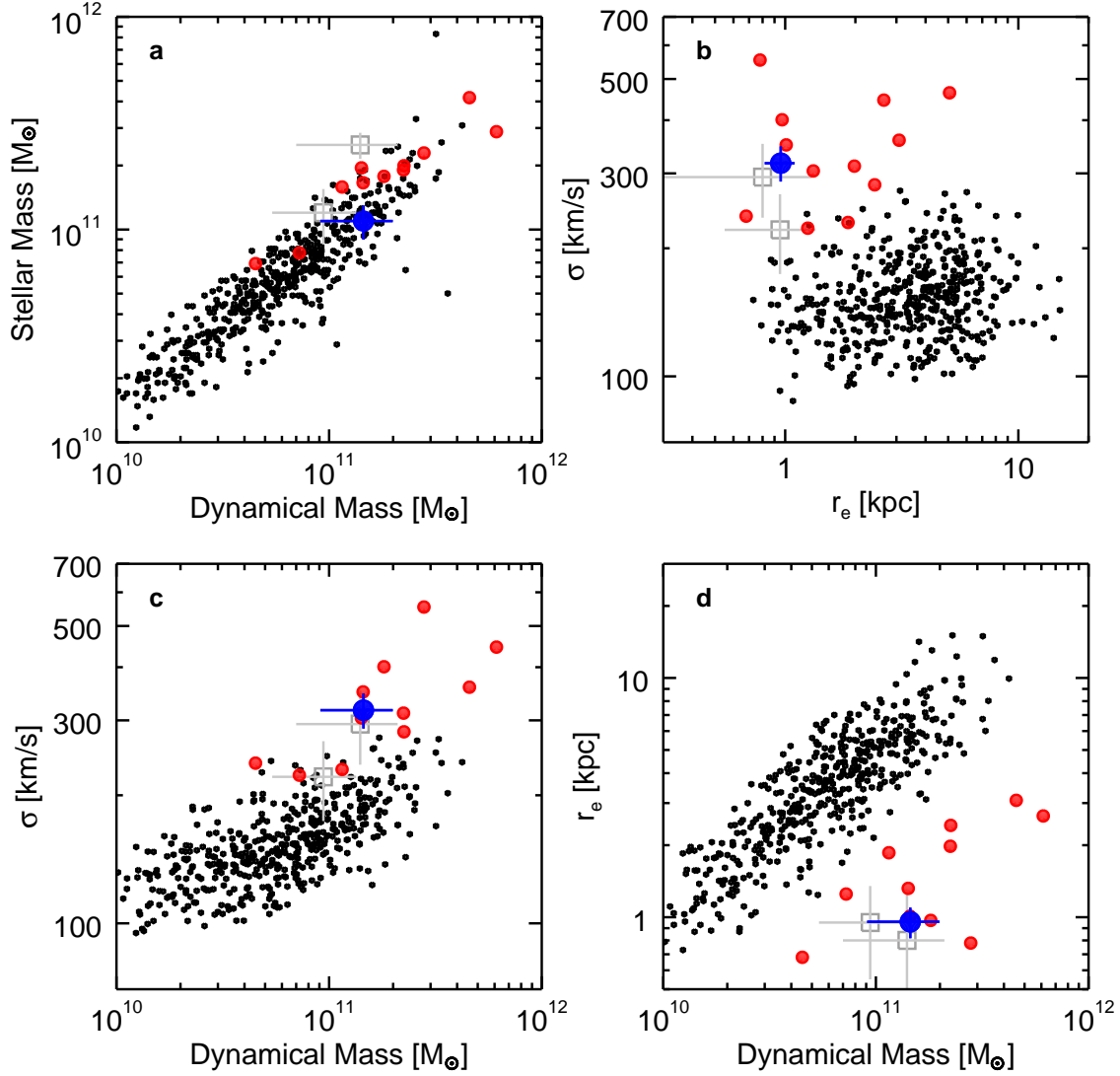
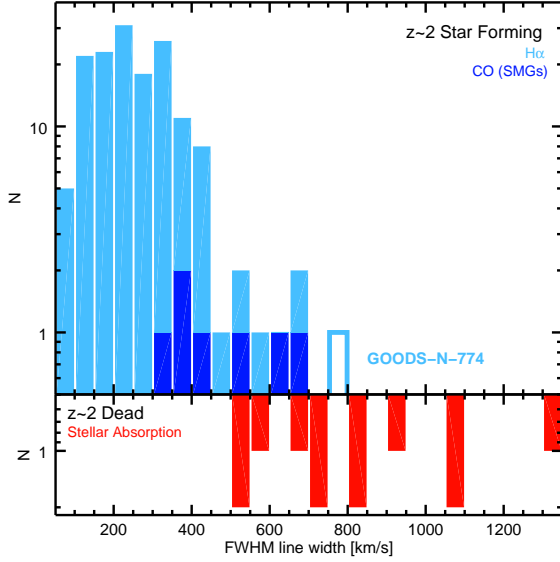


Figure 4 | Properties of GOODS-N-774 compared to quiescent galaxies. Panels a-d compare the size, mass, and gas dynamics of GOODS-N-774 (blue symbol) to the sizes, masses, and stellar dynamics of galaxies in SDSS (black) and massive quiescent galaxies at $z \sim 2$ (red).⁸⁻¹¹ GOODS-N-774 has properties that are similar to previously studied massive quiescent galaxies at $z \sim 2$ and is substantially offset from nearby galaxies. CO dynamics and CO sizes of two compact SMGs from ref. 13 (HDF 76 and N2850.2) are shown in grey. Error bars are s.d.



Extended Data Figure 1 | Line widths of $z \sim 2$ star-forming and quiescent galaxies. The line width of GOODS-N-774 (open box) is among the highest measured for a normal star forming galaxy at high redshift in $H\alpha$ ^{17,18} (light blue) or CO emission (SMGs)⁴ (dark blue). The gas velocity dispersion is similar to the median stellar velocity dispersion of 304 km/s in a sample of quiescent galaxies at $z = 1.5 - 2.2$ with median mass $1.9 \times 10^{11} M_{\odot}$ (red).^{8–11}

total integration time was 6000 s. We used the low dispersion mode with a slit width of $0.7''$, giving a spectral resolution of $\sigma_{\text{instr}} = 6.1 \text{ \AA}$ in the rest-frame. We fit a Gaussian to the $H\alpha$ $\lambda 6563 \text{ \AA}$ and $[\text{N II}]$ $\lambda \lambda 6548, 6584 \text{ \AA}$ emission lines simultaneously and corrected for the instrumental resolution. The uncertainty in the derived properties was determined by refitting the model with empirical realizations of the noise.

HST grism spectroscopy. A WFC3/G141 grism spectrum of the object was obtained as part of the 3D-HST survey.³⁶ 3D-HST is a near infrared slitless spectroscopic Treasury program. We examined the grism spectrum after measuring a secure redshift from the Keck/NIRSPEC spectrum. The redshifted $[\text{O II}]$, $H\beta$, and $[\text{O III}]$ lines are detected with a significance of $1.5\sigma - 2.5\sigma$. GOODS-N-774 has optical emission line ratios $[\text{O III}]/H\beta = 1.2 \pm 0.9$ and $[\text{N II}]/H\alpha = 0.4 \pm 0.1$, suggesting a level of gas excitation that is slightly higher than the locus of star forming galaxies in the local universe³⁷ but at the low end for star forming galaxies at $z \sim 2$ ³⁸ in the diagnostic BPT diagram.

X-ray constraints. GOODS-N-774 is in the Chandra Deep Field North, which has been observed for a total of ≈ 2 Ms with the Chandra X-ray satellite. The exposure time at the location of GOODS-N-774 is 1.22 Ms. The galaxy is not in the publicly available point-source catalog of this field.³⁹ There are 7 counts in a $3''$ aperture centered on the object location in the full band ($0.5 - 8$ keV) X-ray image, fully consistent with the counts in random apertures in regions with the same exposure time. Using the s.d. of the counts in random apertures we derive a 2σ upper limit of 6 counts for the X-ray flux of GOODS-N-774. Using PIMMS v4.6b we derive a rest-frame $2 - 10$ keV flux limit of $F_X < 2.9 \times 10^{-17} \text{ ergs s}^{-1} \text{ cm}^{-2}$, corresponding to a luminosity $L_X < 1.2 \times 10^{42} \text{ ergs s}^{-1}$.

We conclude that there is no evidence for an AGN in GOODS-N-774. The upper limit is consistent with the star formation rate of the galaxy.⁴⁰

Gas column density. We derive the gas surface density using the Kennicutt-Schmidt law²³:

$$\Sigma_{\text{SFR}} = (2.5 \pm 0.7) \times 10^{-4} \left(\frac{\Sigma_{\text{gas}}}{1 M_{\odot} \text{ pc}^{-2}} \right)^{1.4 \pm 0.15} \frac{M_{\odot}}{\text{yr} \cdot \text{kpc}^2}.$$

Dynamical mass. We define dynamical mass as $M_{\text{dyn}} = k(n) \sigma^2 r_e / G$, with the constant $k(n)$ depending on the Sérsic index: $k(n) = 8.87 - 0.831n + 0.0241n^2$.⁴¹ GOODS-N-774 has a Sérsic index $n = 2.9$; the comparison samples of compact quiescent galaxies at $z \sim 2$ ^{1,42–46} and SDSS galaxies with $0.058 < z < 0.060$ have median $n = 3.2$ and $n = 4.1$ respectively.

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